

EXHIBIT 3

TO

PLAINTIFF'S STATEMENT OF FACTS

IN SUPPORT OF

PLAINTIFF'S MOTION FOR SUMMARY JUDGMENT

UNITED STATES DISTRICT COURT
DISTRICT OF ARIZONA

Cleopatria Martinez,)	
)	
Plaintiff,)	
)	
vs.)	NO. CV 15-01759 NVW
)	
Maricopa County Community)	
College District, a political)	
subdivision of the State, and)	
Rufus Glasper and Debra)	
Glasper, husband and wife,)	
)	
Defendants.)	
_____)	

RULE 30(B)(6) DEPOSITION OF JUDY CASTELLANOS

Phoenix, Arizona
December 15, 2016
10:23 a.m.

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I N D E XWITNESSPAGE

JUDY CASTELLANOS

EXAMINATION BY MR. ROBAINA 5

EXAMINATION BY MS. BALCH 80

FURTHER EXAMINATION BY MR. ROBAINA 84

* * *

E X H I B I T SNO.DESCRIPTIONPAGE

No. 1 Letters dated August 9, 2013 10

No. 2 Residential Faculty Policies 2012-2013 12

No. 3 Residential Faculty Policies 2013-2014 13

No. 4 Hearing Committee Findings of Fact,
Conclusions of Law, and Recommendation 20No. 5 Administrative Regulation: Fiscal
Management, Section 1 25No. 6 Letters dated February 10, 2014;
Hearing Committee Findings of Fact,
Conclusions of Law, and Recommendation 26No. 7 List of Faculty Suspended; Copies of
Suspensions 52

No. 8 List of Faculty Suspended 53

1	No. 9	Suspension Documents for Jason [REDACTED]	60
2	No. 10	Suspension Documents for Walter [REDACTED]	61
3	No. 11	Suspension Information for Harry [REDACTED]	62
4	No. 12	Suspension Documents for Scott [REDACTED]	63
5	No. 13	Suspension Documents for David [REDACTED]	63
6	No. 14	E-mails dated August 11, 12, and 13, 2014	64
7			
8	No. 15	E-mail dated August 13, 2014	65
9			
10			
11			
12			
13			
14			
15			
16			
17			
18			
19			
20			
21			
22			
23			
24			
25			

1 RULE 30(B)(6) DEPOSITION OF JUDY CASTELLANOS, a
2 witness herein, was taken upon oral examination by the
3 parties through their respective attorneys before
4 NICOLE TATLOW, RPR, a Certified Reporter in and for the
5 County of Maricopa, State of Arizona, taken on December 15,
6 2016, commencing at 10:23 a.m., at the law offices of
7 ROBAINA & KRESIN, P.L.L.C., 5343 North 16th Street, Suite
8 200, Phoenix, Arizona.

9
10 COUNSEL APPEARING:

11 ROBAINA & KRESIN, P.L.L.C.
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17 FISHER & PHILLIPS, L.L.P.
18 BY: Ms. Shayna H. Balch, Esq.
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22 Attorneys for Defendants

23 ALSO PRESENT:

24 Dr. Cleopatria Martinez, Plaintiff
25

1 BY MR. ROBAINA:

2 Q. And then if you look at 20- -- or I'm sorry --
3 3.15 in Exhibit 3, which is the 2013-2014, I think you'll
4 see that it's not the same.

5 A. Mm-hm.

6 Q. Correct?

7 A. That's correct.

8 Q. All right. So would you agree with me, then,
9 that it's the 2012-2013 policies that apply to the intent to
10 dismiss?

11 A. Yes.

12 Q. And you're familiar with these 20- -- with these
13 Residential Faculty Policies, correct?

14 A. Yes.

15 Q. All right. If you take a look at page 19 of
16 Exhibit 2, do you see 3.11.2?

17 A. Mm-hm.

18 Q. And it says that, "No faculty member shall be
19 disciplined, reprimanded, suspended, or reduced in
20 assignment or compensation without just cause," correct?

21 A. What section are you reading?

22 Q. I'm in Exhibit 2 at Section 3.11.2.

23 A. Mm-hm.

24 Q. Are you familiar with that section?

25 A. Yes.

1 A. Each section of the Residential Faculty Manual
2 defines what an employee is entitled to. So to say broad,
3 each section clearly defines what the employee is entitled
4 to.

5 Q. Okay. So let's go to, in 2012-2013, Residential
6 Faculty Policies, which are Exhibit 2, page 21, which is
7 "Faculty Member Dismissal." Do you see that?

8 A. Mm-hm.

9 Q. All right. Are you familiar with these policies?

10 A. Yes.

11 Q. And an employee has -- that's -- where there's a
12 notice of intent to dismiss, under your Residential Faculty
13 Policies, or MCCD's -- MCCCD's Residential Faculty Policies,
14 the employee has a right to a hearing, correct?

15 A. Yes.

16 Q. And the hearing committee hears evidence,
17 correct?

18 A. That's correct.

19 Q. And --

20 MS. BALCH: And, Counsel, this is all in relation
21 to 3.15, right?

22 MR. ROBAINA: Correct.

23 MS. BALCH: I just didn't hear the policy number.

24 BY MR. ROBAINA:

25 Q. And the committee makes findings of facts and

1 conclusions of law that are binding with respect to those --
2 the issues that it discusses, correct?

3 A. That's correct.

4 Q. And then it makes a recommendation, right? To
5 the Chancellor?

6 A. Yes.

7 Q. And the Chancellor can accept the recommendations
8 or can make his own recommendations and forward those to the
9 Governing Board, correct? That's under 3.15.8 [sic].

10 MS. BALCH: Objection. Misstates.

11 THE WITNESS: Can you further explain what you
12 mean by that?

13 BY MR. ROBAINA:

14 Q. Under 3.15.8 [sic], "The Chancellor may adopt the
15 hearing committee's recommendation regarding dismissal or
16 make his or her own recommendation and forward the
17 recommendation along with the summary of the evidence, a
18 copy of the findings of facts, conclusions of law, and final
19 recommendations of the hearing committee to the Governing
20 Board," correct?

21 A. And that's regarding the dismissal.

22 Q. Correct.

23 A. Yes.

24 Q. Yes? All right.

25 Just going back to Section 3.11.2 for a moment.

1 A. What I'm stating is that in this particular
2 section, we would work with our legal to get the
3 interpretation on that.

4 Q. Have you ever asked your legal -- well, I can't
5 ask you what your legal has told you.

6 Have you ever had cause to determine what that
7 section -- how that section applies to employees who are
8 being disciplined, reprimanded, suspended, or reduced in
9 assignment or compensation?

10 MS. BALCH: I'm going to object to that. I think
11 it's getting too close to attorney-client privilege.

12 BY MR. ROBAINA:

13 Q. Yeah, I'm not asking you what your legal counsel
14 has asked you.

15 MR. ROBAINA: Can you repeat the question,
16 please?

17 (Requested portion of record read.)

18 THE WITNESS: We work with our legal department
19 on this.

20 BY MR. ROBAINA:

21 Q. Let me show you what I'd like to have marked as
22 Exhibit 4.

23 (Exhibit No. 4 marked.)

24 BY MR. ROBAINA:

25 Q. Have you seen this document before?

1 A. Yes.

2 Q. Would you take a look at the -- page 7 of
3 Exhibit 4?

4 Do you see in Paragraph 64 where it says that,
5 "It is inconclusive as to whether Dr. Martinez intentionally
6 or inadvertently violated federal copyright law"? Do you
7 see that?

8 A. Yes.

9 Q. The next one, 65, says, "It is inconclusive as to
10 whether or not Dr. Martinez violated the cash-handling
11 policies of MCCCCD." Do you see that?

12 A. Yes.

13 Q. And do you see No. 66, where it says,
14 "Dr. Martinez willfully and intentionally failed to follow
15 the instructions that were communicated to her when she
16 failed to issue refunds to the students as directed by
17 President Solley"? See that?

18 A. Yes.

19 Q. Those conclusions were binding on the District
20 with respect to the issues that were discussed in those
21 conclusions, correct?

22 MS. BALCH: Objection. Form. Foundation.

23 THE WITNESS: Can you repeat the question again?

24 MR. ROBAINA: Can you read it back, please?

25 (Requested portion of record read.)

1 THE WITNESS: Can you repeat it one more time,
2 slowly, please?

3 (Requested portion of record read.)

4 THE WITNESS: So this is a two-question. So they
5 were binding, but what issues were discussed? In regards to
6 what?

7 BY MR. ROBAINA:

8 Q. I guess if you like, you can review the findings
9 of facts and conclusions of law before you answer the
10 question. My question is simply that under their policies,
11 these conclusions are binding on the District with respect
12 to the issues that are discussed in those conclusions,
13 right?

14 A. They're binding, but the Chancellor could make a
15 decision based on his recommendation on what policy we
16 talked before.

17 Q. I'm not understanding your answer.

18 A. The committee's information is binding.

19 Q. On who?

20 A. On -- who do you mean?

21 Q. Who are they binding on?

22 A. They're binding on the dismissal, termination,
23 that was discussed here.

24 Q. And you're saying that the Chancellor can do
25 what?

1 read the whole thing, but I'll point you to the section that
2 I --

3 A. Okay.

4 Q. Are you familiar with these policies?

5 A. I -- I have seen them, but I do not work with
6 them on a daily basis.

7 Q. Okay. What policies do you work with on a daily
8 basis? Do you work with any particular policies on a daily
9 basis?

10 A. The staff policy manual, which is for classified,
11 and the residential.

12 Q. Okay. Let me show you what I'd like to have
13 marked as Exhibit 6.

14 (Exhibit No. 6 marked.)

15 BY MR. ROBAINA:

16 Q. Have you seen this document before?

17 A. Yes.

18 Q. Did you help prepare that document?

19 A. Yes.

20 Q. All right. Do you see the statement of charges,
21 which is on the second page of Exhibit 6?

22 A. Yes.

23 Q. And did you help prepare that document?

24 A. Yes.

25 Q. Did Ms. Martinez receive any review of the

1 suspension that she received -- well, let me just ask it
2 this way. Scratch that.

3 Was Ms. Martinez entitled to appeal her
4 suspension?

5 A. For suspensions, under the RFP, employees are not
6 granted an appeal. They're given the notice, and then
7 they're -- they have an opportunity to visit with the Vice
8 Chancellor, and then a copy is provided to the Faculty
9 Senate.

10 Q. What is the purpose of visiting with the Vice
11 Chancellor?

12 A. To let them know that they're going to be
13 receiving this, and if they want to address whatever they
14 need to, they can, but that's it. They don't get anything
15 else.

16 Q. The Vice Chancellor cannot change the discipline,
17 right? The Vice Chancellor cannot change the suspension to
18 something less, right?

19 A. Let me read that section.

20 Q. We're talking about 3.11.3, correct, in
21 Exhibit 2? I'm sorry. Exhibit 3?

22 A. Exhibit 3, yes.

23 Q. Yeah. Exhibit 3.

24 A. Section 3.11.1 says, "Upon a written statement of
25 charges formulated by the Chancellor charging a faculty

1 A. That's correct.

2 Q. Okay. The Vice Chancellor can't change the
3 suspension, correct?

4 MS. BALCH: Objection. Form.

5 BY MR. ROBAINA:

6 Q. Under this policy?

7 A. This section clearly states that the meeting is
8 to advise a member of the action, meaning what they're --

9 Q. So the Vice Chancellor can't change that
10 suspension, correct?

11 MS. BALCH: Objection. Form.

12 BY MR. ROBAINA:

13 Q. Under this policy?

14 MR. ROBAINA: She's here for -- she's a 30(b)(6).

15 BY MR. ROBAINA:

16 Q. Go ahead and answer that.

17 A. This section clearly states that the Vice
18 Chancellor of Human Resources will consult and advise the
19 member at the option of the faculty member and the faculty
20 association regarding the rationale for the action.

21 Q. I know what the section says. My question is:
22 Do you have any knowledge as to whether or not the Vice
23 Chancellor of Human Resources can change the decision to
24 suspend the faculty member -- a faculty member without pay
25 under this policy?

1 A. That meeting is strictly to advise the employee,
2 who would be the residential faculty member, of what is
3 happening.

4 Q. So the answer's no?

5 A. Correct.

6 Q. So in this case, Ms. Martinez first went to a
7 hearing on the proposed dismissal, correct?

8 A. Yes.

9 Q. And there were findings of facts and conclusions
10 of law with regard to the allegations in the notice of
11 intent to dismiss, right?

12 A. Correct.

13 Q. And the Chancellor, subsequent to the findings of
14 facts and conclusions of law, reviewed the findings and
15 facts and conclusions of law, correct?

16 A. Correct.

17 MS. BALCH: Objection. Form.

18 BY MR. ROBAINA:

19 Q. And met with the committee, correct?

20 A. I was not there.

21 Q. You were not there. Okay. You know that the
22 Chancellor, though, ultimately suspended Dr. Martinez for 14
23 months, correct? Or he notified her of his intent to
24 suspend her for 14 months, correct? Which is in Exhibit 6?

25 A. Mm-hm.

1 A. According to the conclusions, she did not.

2 Q. Okay. 1.12.2 and 1.12.3 are not anywhere in the
3 statement of charges for the notice of termination -- I'm
4 sorry -- notice of intent to dismiss, are they?

5 A. It's just background information that we just put
6 there.

7 Q. My question to you is: Are those sections cited
8 in the notice of intent to dismiss and the statement of
9 charges attached to the notice of intent to dismiss?

10 A. No.

11 Q. Take a look at Exhibit 5. And if you would look
12 at I-39, these are the cash-handling policies that
13 Dr. Martinez was alleged to have violated in the statement
14 of charges attached to the intent to dismiss that is
15 Exhibit 1, correct? Yes?

16 A. Yes. It's in -- stated in the statement of
17 charges.

18 Q. All right. Those are different from, if you
19 would look at I-22 in Exhibit 5, 1.12.2 and 1.12.3, correct?

20 A. They're -- what was your question?

21 MR. ROBAINA: Can you read it back?

22 (Requested portion of record read.)

23 THE WITNESS: Yes.

24 BY MR. ROBAINA:

25 Q. Did someone tell you to cite 1.12.2 and 1.12.3?

1 the 2013-2014?

2 A. I would have to pull it.

3 Q. All right.

4 A. Because every section -- every year, Maricopa
5 changes policies, so --

6 Q. All right. So you don't --

7 A. And the numbering can change.

8 Q. Okay. And then -- let's go ahead and mark this
9 one as Exhibit 11.

10 (Exhibit No. 11 marked.)

11 BY MR. ROBAINA:

12 Q. And that's -- those are the documents you were
13 able to find for Harry [REDACTED]; is that correct?

14 A. Yes.

15 Q. Do you know -- it doesn't say on there, I don't
16 believe, what policy he was suspended under, does it?

17 A. No.

18 Q. Are you going to be able to look for and find
19 anything else on Mr. [REDACTED]?

20 A. Let me tell you, we spent so many hours that at
21 this point, this situation -- this action happened in 2008,
22 so it would take us -- I don't know if I'll be able to find
23 anything, to be honest. I would have to spend hours and
24 hours trying to find it, if there's anything.

25 Q. Okay. So as of right now, you don't know what

1 policy or what the issue was with Mr. [REDACTED], correct?

2 A. No.

3 Q. All right. Let's have this one marked as 12.

4 (Exhibit No. 12 marked.)

5 BY MR. ROBAINA:

6 Q. All right. And this is the information that you
7 found with regard to Scott [REDACTED], correct?

8 A. Yes.

9 Q. This was a suspension for three days, correct?

10 A. It says, "Employee to be suspended for three
11 six-hour days," suspension without pay.

12 Q. And this is on -- this is a 2016 suspension,
13 correct?

14 A. Correct.

15 (Exhibit No. 13 marked.)

16 BY MR. ROBAINA:

17 Q. Do you recognize that document?

18 A. Yes.

19 Q. All right. And is this the information that you
20 found on David [REDACTED]?

21 A. That is correct.

22 Q. And this says that he was suspended from 12/1 to
23 1/4 based on a settlement agreement. Do you see that?

24 A. Yes.

25 Q. Do you know anything more about why he was



MARICOPA
COMMUNITY
COLLEGES

Rufus Glasper
Chancellor

2411 W. 14th Street, Tempe, Arizona 85281-6942 • T 480.731.8100 • F 480.731.8120 • r.glasper@ccmail.maricopa.edu

February 10, 2014

Dr. Cleopatria Martinez
7030 N. 21st Street
Phoenix, AZ 85020

Re: Notice of Suspension without Pay

Dear Dr. Martinez:

Based upon the attached written statement of charges, I have decided to suspend your employment under my sole authority as Chancellor pursuant to section 3.11 of the Residential Faculty Policies. The purpose of this letter is to notify you that your suspension from your position as Mathematics faculty at Phoenix College will begin on March 1, 2014 and end on May 15, 2015. Your teaching responsibilities and pay will resume Fall semester, 2015 unless you choose to retire (see below).

Having sought the advice of the General Counsel as required by section 3.11.3 of the RFP, I am satisfied that your procedural rights concerning the grounds for suspension have been met. The basis for this action is the unanimous finding of an independent hearing committee that you willfully violated the district policies set forth in the statement of charges and that I have the authority to suspend your employment without pay.

Also under the terms of subsection 3.11.3, the interim Vice Chancellor for Human Resources will offer to consult with you (and the Faculty Association President, if you choose) concerning the rationale for this decision. If you accept his invitation, he will deliver this notice and attachment after the meeting. If you decline your opportunity to consult with him, he will have the decision delivered to you in accordance with the policy.

You may voluntarily retire from your position in lieu of this suspension without pay. If you elect to do so, you will remain on paid administrative leave and be paid through May 9, 2014.

Sincerely,

Rufus Glasper, Ph.D., CPA
Chancellor

Lee Combs
General Legal Counsel

cc: Dr. Anna Solley
Mr. Jim Bowers

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Rio Salado | Scottsdale | South Mountain | Maricopa Skill Center | SouthWest Skill Center

Exhibit No.

Name Castellanos
Date 12-15-16

Nicole Tailor, Reporter



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Rufus Glasper
Chancellor

2411 W. 14th Street, Tempe, Arizona 85281-6942 • T 480.731.8100 • F 480.731.8120 • r.glasper@comail.maricopa.edu

February 10, 2014

Dr. Cleopatria Martinez
7030 N. 21st Street
Phoenix, AZ 85020

Re: Statement of Charges

Based upon the findings of the hearing committee which are attached to this statement, and the record of their proceedings, I have determined as follows:

In teaching your Phoenix College mathematics students, you did not use a textbook. Instead, you prepared your own course materials you called your "lecture notes," copied them on Phoenix College equipment and at College expense, and distributed them to your students without charge. In preparing your notes, you copied problems from copyright protected textbooks and inserted them into your lecture notes without attribution to, or permission of, the copyright holders.

In early 2010, Phoenix College discovered that you may have exposed MCCCDC to liability for copyright infringement, notified you of the concerns, and provided training and legal counsel. To protect the College from the risk of liability, in April 2010 President Solley restricted your copying privileges, allowing only those copy projects approved by your department chair. You attempted to bypass these restrictions. The chair filed a complaint that was referred to an administrative evaluation team for investigation. The team, including your own appointee, unanimously concluded that your lecture notes violated copyright and that you had failed to follow President Solley's direction.

President Solley considered this history, and on December 10, 2010 imposed a stricter restriction in accordance with the RFP. She directed you to use only course materials approved by the department, that were available in the bookstore for sale to the students, and that were authored by persons other than yourself. She also required that you provide proper attribution of authorship of the materials by others and show evidence that you had purchased the materials or otherwise received permission to use them from the author. She restricted your authority to copy to materials approved by your department chair after two days' notice and written proof that you had permission of the author to copy the materials.

In concluding her directive, Dr. Solley stated, "This direction is intended to communicate job duties to you within the meaning of Governing Board employment standard A4.3. In accordance with that section of the All Employment Policy Manual, willful and intentional violation of these instructions will be considered grounds for disciplinary action, up to and including dismissal."

Despite MCCCDC's frequent discussions with you regarding the importance of complying with copyright laws and the December 9, 2010 directive, you continued to attempt to ignore the directive. At the beginning of the Fall 2012 Semester, you informed your students that they were not required to purchase a course textbook and that you would provide them with your own course materials in lieu of a textbook. In violation of President Solley's December, 2010 directive, you made copies at a local Staples store of course materials that had not been approved by your department chair, and distributed them to your students for \$11 per copy, payable directly to you. This distribution violated Dr. Solley's directive.

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Page 2

You decided unilaterally that no district policy required you to comply with the job duties communicated to you in writing by Dr. Solley, so you violated them. However, her directive was issued under section 3.7.4 of the RFP. This section authorizes a president to take "any appropriate action" after receiving the results of the administrative review. In considering the appropriate action, Dr. Solley relied on the advice of expert counsel to assess the risk of liability represented by your behavior, on your documented history of evasion of her prior efforts to protect MCCC, and the unanimous report of the administrative evaluation team. You did not file a timely appeal or grievance seeking review of this directive.

An additional concern arose after a student brought the copying to PC's attention, complaining that you did not provide receipts for the material charges. MCCC Administrative Regulation 1.12. states as follows:

"1.12.2 Authorization

Prior to participating in the sale of products or services, Revenue and Expenditure categories must be included in a program's budget, and approved by the Governing Board during the annual budget adoption process, or as legally changed during a fiscal year.

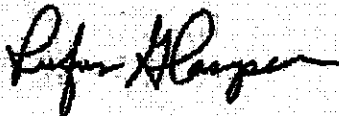
1.12.3 Fees

Fees exchanged for products or services produced through an educational, training, or service activity shall be pre-approved by the Governing Board."

Phoenix College determined that your course materials charge was not included in the adopted budget or pre-approved by the Governing Board. Dr. Solley therefore issued a corrective action that directed you to issue refunds to the students for the unauthorized charge. You refused, and offered no explanation or justification of your decision to the hearing committee. The hearing committee found your failure to comply with the corrective action was in violation of Administrative Regulation 6.7.1 and Administrative Regulation 6.7.3.

Therefore, for purposes of RFP Section 3.13, this letter will serve as a statement of charges that you are in violation of Administrative Regulation 6.7.1 and Administrative Regulation 6.7.3. In accordance with these regulations, disciplinary action up to and including termination is warranted. I accept the hearing committee's recommendation that you should not be dismissed from employment. However, I believe suspension without pay for a substantial time is the appropriate sanction.

Sincerely,



Rufus Glasper, Ph.D. CPA
Chancellor

**BEFORE THE GOVERNING BOARD OF THE
MARICOPA COUNTY COMMUNITY COLLEGE DISTRICT**

MARICOPA COUNTY COMMUNITY
COLLEGE DISTRICT,

v.

DR. CLEOPATRIA MARTINEZ

) HEARING COMMITTEE

) FINDINGS OF FACT, CONCLUSIONS
) OF LAW, AND RECOMMENDATION

Pursuant to the October 16, 2013 Scheduling Order, having reviewed all of the parties' pleadings and exhibits and conducting a hearing on November 18, 2013, the Hearing Committee (by majority vote) hereby submits the following Findings of Fact, Conclusions of Law and Recommendation.

FINDINGS OF FACT

1. After having taught mathematics full-time for ten years at Denver Community College, Dr. Cleopatria Martinez moved to Arizona and started teaching mathematics full-time at Scottsdale Community College on January 1, 1985.
2. Dr. Martinez voluntarily transferred to Phoenix College ("PC") in 1995 and has been teaching mathematics there full-time ever since.
3. Dr. Martinez elected to voluntarily transfer to PC because there were very few minority students attending Scottsdale Community College, and she wanted to use her bilingual education skills in the mathematics classroom to better educate the large number of minority students attending PC.
4. Dr. Martinez was elected by her colleagues to serve as the Chairperson of the Mathematics Department of PC from 2002 to 2005.
5. In early 2010, PC discovered that Dr. Cleopatria Martinez may have exposed MCCCDC to potential liability for copyright infringement.
6. Dr. Martinez testified that, during her twenty-eight years of teaching mathematics in the MCCCDC system, she and her colleagues in the Mathematics Departments of both Scottsdale Community College and PC routinely borrowed mathematics problems from other mathematicians to use in their student handouts for educational purposes on a not for profit basis.
7. Dr. Martinez testified, without rebuttal, that this borrowing of mathematics problems is a longstanding, widespread custom throughout the District and academia at large.
8. Instead of requiring her mathematics students to purchase textbooks, Dr. Martinez prepared her own course materials which she called her "Lecture Notes" and distributed them to

her students. MCCCC Exhibit List # 4-5 (Martinez Depo., pp. 77:17-78:15, 106:16-108:16, 121:25-140:13, 132:16-137:25).

9. Dr. Martinez read the Copyright Act of 1976 and believed that it authorized her to use small portions of other scholars' work under the "Fair Use" doctrine because she was using it only for classroom teaching purposes on a not for profit basis and her use of the material did not undermine the potential market for the other scholar's work.

10. For the "Fair Use" doctrine of the Copyright Act, the "use of a copyrighted work . . . for purposes such as criticism, comment, news reporting, teaching (including multiple copies for classroom use), scholarship, or research, is not an infringement of copyright." 17 U.S.C. § 107.

11. Dr. Martinez copied problems from copyright protected textbooks and inserted them into her course materials. MCCCC Exhibit List # 4-5 (Martinez Depo., pp. 106:16-108:16).

12. Dr. Martinez, in her Basic Arithmetic (MAT082) course, copied problems directly from a copyrighted textbook entitled "Basic Mathematics" and inserted them into her "MAT 082 Basic Arithmetic Spring 2010" course materials which she distributed to students. MCCCC Exhibit List # 20 (Full-Sized Excerpted Comparison). She did not seek or obtain permission from the copyright holder.

13. Dr. Martinez also copied problems directly from the Sullivan & Sullivan "Precalculus" textbook and inserted them into her "MAT182 Trigonometry Spring 2010" course materials. MCCCC Exhibit List # 22 (Full-Sized Excerpted Comparison). She did not seek or obtain permission from the copyright holder.

14. Dr. Martinez did not require her students to purchase textbooks in her Spring MAT082 Course. MCCCC Exhibit List # 18 (email from Dr. Martinez stating "I indicated in my syllabus that, instead of a published textbook, I was using lecture notes in my MAT082 class.")

15. Dr. Martinez did not require her students to purchase textbooks in her Spring MAT182 Course. See Dr. Martinez's hearing testimony (conceding that students were not required to purchase a textbook in her Spring 2010 MAT182 course).

16. On or around January 12, 2010, MCCCC's Vice President of Academic Services, Ronnie Elliot, sent Dr. Martinez an email notifying her that there were alleged copyright related problems with the materials she had printed for the Fall 2009 and Spring 2010 semesters. MCCCC Exhibit List # 23 (January 12, 2010 email). The materials in question were packets of course materials for Dr. Martinez's MAT182 and MAT187 courses and contained mathematics problems and graphs that were taken from copyrighted textbooks. MCCCC Exhibit List # 11 (Ronnie Elliot Declaration, ¶¶8-9); MCCCC Exhibit List # 16 (MAT182 course packet); MCCCC Exhibit List # 7 (Sean Garrison October 28, 2010 Memorandum).

17. Dr. Martinez used three of the four packets of "lecture notes" in the Spring of 2010 in two pre-calculus classes. See District Exhibit 6, parts 1-3.

18. The MCCCED administration, following Vice President Elliot's email, repeatedly explained its copyright concerns to Dr. Martinez and asked Dr. Martinez to remove any copyright protected mathematics problems from her materials. See Kakar Hearing Testimony.

19. On January 26, 2010, Vice President Elliot sent Dr. Martinez an email outlining MCCCED's concerns regarding Dr. Martinez's potential copyright infringement. MCCCED Exhibit List # 24 (January 26, 2010 email).

20. On January 28, 2010, MCCCED in-house counsel Margaret McConnell discussed copyright compliance with Dr. Martinez telephonically. MCCCED Exhibit List # 25 (January 28, 2010 email).

21. Dr. Martinez did not benefit from the multiple MCCCED copyright information sessions noted above.

22. PC's own expert witness, Sean Garrison, testified that under the "Fair Use" doctrine, someone could lawfully copy from a copyrighted textbook without either using or purchasing the textbook.

23. On February 5, 2010, Vice President of Academic Affairs Casandra Kakar, Interim Vice President of Administrative Services Paul DeRose, and Dr. Martinez met to discuss Dr. Martinez's alleged misuse of copyrighted materials. MCCCED Exhibit List # 26 (February 12, 2010 email).

24. Dr. Martinez has not used any of the four sets of "lecture notes" since the Spring of 2010 when PC first raised its concerns regarding the notes.

25. On April 15, 2010, PC Librarian Ann Roselle conducted a personalized one-on-one copyright training session with Dr. Martinez. MCCCED Exhibit List # 28 (Copyright PowerPoint Presentation). See also, Kakar Hearing Testimony.

26. When Dr. Martinez met with the librarian, the librarian told Dr. Martinez that Dr. Martinez knew as much about copyright law as she did. See Martinez Hearing Testimony.

27. Following the revocation of Dr. Martinez's copying privileges, Dr. Martinez was required to submit her copy requests to Mathematics Department Chairperson Mr. Sueyoshi so that Mr. Sueyoshi could review her materials for possible copyright violations prior to copying and distribution to students. MCCCED Exhibit List # 8 (April 2, 2010 Directive).

28. Dr. Martinez sought and obtained a form of written permission from the publisher of the textbook, Sullivan & Sullivan's "Precalculus," to copy materials from their book after PC accused her of violating copyright law.

29. On or around April 19, 2010, Dr. Martinez attempted to bypass the copying restrictions by having an adjunct mathematics Professor, Johnny Santellan, make 24 sets of copies of her "Lecture Notes" for distribution to her mathematics students without obtaining prior approval from the Mathematics Department Chairperson. MCCCED Exhibit List # 12 (Sueyoshi Depo., ¶9); MCCCED Exhibit List # 4-5 (Martinez Depo., pp. 223:11-224:21).

30. Because Dr. Martinez did not feel she was able to obtain reliable guidance regarding copyright compliance from the District, she sought advice from a private intellectual property attorney regarding the issue, Mr. Frederic Bellamy.

31. Mr. Bellamy reviewed the first two sets of Dr. Martinez's "lecture notes" and concluded that they did not violate copyright law because they fell under the "Fair Use" doctrine.

32. Mr. Bellamy based his conclusion that Dr. Martinez did not violate copyright law on the opinion that the portion of material that Dr. Martinez copied from the Sullivan & Sullivan Precalculus textbook was very small, that she used the copied materials exclusively for educational purposes without any profit motive, that the content of the copied material consisted of mathematics problems that are arguably not subject to copyright in the first place, and that the small portion of the textbook that Dr. Martinez copied did not adversely impact the potential market for the textbook.

33. At the hearing, another lawyer practicing in the area of intellectual property law, Sean Garrison, testified on behalf of PC. In contrast to Mr. Bellamy, Mr. Garrison testified at the hearing that the first three sets of Dr. Martinez's lecture notes violated copyright law.

34. In contrast to his testimony at the hearing of November 18, 2013, in his written report Mr. Garrison concluded only that the first three sets of Dr. Martinez's lecture notes subjected the District "to a serious risk of a copyright infringement claim." See District Exhibit 6, p. 0002.

35. MOCED invited Dr. Martinez to a copyright workshop that was held on March 1, 2010 at PC. Dr. Martinez chose not to attend the workshop. MOCED Exhibit List # 10 (Solley Decl., ¶11).

36. PC President Anna Solley, on December 9, 2010, concluded that Dr. Martinez's alleged misconduct posed an unacceptable legal risk of a copyright infringement claim and imposed restrictions on Dr. Martinez's photocopying privileges. MOCED Exhibit List # 10 (Solley Decl., ¶12).

37. MOCED sought a legal opinion from an outside copyright expert, attorney Sean Garrison. MOCED Exhibit List # 10 (Solley Decl., ¶ 14); MOCED Exhibit List # 7 (Sean Garrison October 28, 2010 Memo).

38. According to Mr. Garrison, the third set of "lecture notes" appeared to have 13 mathematics problems copied from two other mathematics textbooks.

39. Mr. Garrison testified that he was certain that Dr. Martinez has committed copyright infringement. See Garrison Hearing Testimony. In reaching this conclusion, Mr. Garrison reviewed thousands of pages of materials including nearly 300 pages of Dr. Martinez's lecture notes and three separate copyrighted mathematics textbooks. MOCED Exhibit List # 6 (Sean Garrison April 19, 2013 Report); see also Garrison Hearing Testimony.

40. In reliance upon Mr. Garrison's recommendations, PC President Anna Solley issued a December 9, 2010 directive that imposed further restrictions on Dr. Martinez's copying

privileges. MCCCED Exhibit List # 9 (December 9, 2010 Directive); MCCCED Exhibit List # 10 (Solley Decl., ¶15).

41. The December 9, 2010 Directive prohibited Dr. Martinez from utilizing any course materials of her own creation. MCCCED Exhibit List # 9 (December 9, 2010 Directive). Instead, Dr. Martinez was required to only use course materials that are "approved by the mathematics department" or that are "available in the bookstore for sale to students and that are authored by persons other than [Dr. Martinez]." MCCCED Exhibit List # 9 (December 9, 2010 Directive).

42. The December 9, 2010 Directive further required Dr. Martinez to submit her photocopy requests to the Mathematics Department Chair for his approval. MCCCED Exhibit List # 9 (December 9, 2010 Directive).

43. Despite MCCCED's frequent discussions with Dr. Martinez regarding the importance of complying with copyright laws and the December 9, 2010 Directive, Dr. Martinez continued to attempt to ignore the directive. See Kakar Hearing Testimony.

44. At the beginning of the Fall 2012 semester (on or about August 21-23, 2012), Dr. Martinez informed her students that they were not required to purchase a course textbook and that she would provide them with her own course materials in lieu of a textbook. MCCCED Exhibit List # 4-5 (Martinez Depo., pp. 232:19-233:21).

45. In fall of 2012, Dr. Martinez sought to have her lecture notes photocopied off campus at a nearby Staples store. This practice is inconsistent with the December 9, 2010 Directive. MCCCED Exhibit List # 9 (December 9, 2010 Directive); MCCCED Exhibit List # 4-5 (Martinez Depo., pp. 232:19-233:21).

46. Dr. Martinez did not submit her Fall 2012 materials to Mathematics Department Chairperson Mr. Sueyoshi for approval. MCCCED Exhibit List # 4-5 (Martinez Depo., pp. 232:19-233:21).

47. Dr. Martinez made copies of her course materials at an off-campus Staples store and distributed them to students for \$11 per copy. MCCCED Exhibit List # 4-5 (Martinez Depo., pp. 232:19-233:21).

48. The \$11 course material fee was paid directly to Dr. Martinez by students. MCCCED Exhibit List # 4-5 (Martinez Depo., pp. 232:19-233:21).

49. PC accused Dr. Martinez of violating the District's "cash handling" rules by distributing these course materials to her students if the students reimbursed her for her out-of-pocket copying costs.

50. PC subsequently claimed that Dr. Martinez violated the District's "cash handling" policies by seeking reimbursement for the copies.

51. MCCCED's policies prohibit instructors from having "any financial interest in or receiv[ing] compensation from the sale of any unpublished instructional materials required or

suggested for a class that the instructor teaches." MCCCDC Exhibit List # 41 (Residential Faculty Policies, 3.2.4).

52. Dr. Martinez failed to follow the protocol set forth in the December 9, 2010 Directive in copying her Fall 2012 course materials. MCCCDC Exhibit List # 9 (December 9, 2010 Directive); MCCCDC Exhibit List # 4-5 (Martinez Depo., pp. 232:19-233:21).

53. Dr. Martinez distributed course materials directly to students in her Fall 2012 MAT151 class. MCCCDC Exhibit List # 4-5 (Martinez Depo., pp. 232:19-233:21).

54. The MCCCDC Administration learned of Dr. Martinez's unauthorized distribution of course materials to students after one of Dr. Martinez's MAT151 students complained to Mathematics Department Chairperson Mr. Sueyoshi. The student complained that Dr. Martinez refused to provide her with a receipt for the purchased course materials. See Kakar Hearing Testimony.

55. Upon learning that Dr. Martinez had distributed course materials to students allegedly in violation of MCCCDC's cash handling policy, President Solley instructed Martinez to immediately issue refunds to her students via personal check. MCCCDC Exhibit List # 3 (October 18, 2012 Counseling Notice); see also Kakar Hearing Testimony.

56. On or around October 18, 2012, President Solley and Dr. Kakar met with Dr. Martinez to explain the seriousness of Dr. Martinez's alleged cash handling violation. MCCCDC Exhibit List # 3 (October 18, 2012 Counseling Notice); see also Kakar Hearing Testimony. In addition, President Solley and Dr. Kakar prepared a written counseling memo dated October 18, 2012 for Dr. Martinez regarding her alleged violation of cash handling rules. Dr. Martinez was provided with a copy of the October 18, 2012 counseling notice and President Solley proceeded to explain to Dr. Martinez the seriousness of the alleged cash handling violations and the requirement that Dr. Martinez issue reimbursements to her students. MCCCDC Exhibit List # 3 (October 18, 2012 Counseling Notice); see also Kakar Hearing Testimony.

57. Several months later, on or around January 9, 2013, Dr. Kakar learned that most (if not all) students had not yet received refunds from the Dr. Martinez. MCCCDC Exhibit List # 39 (various emails regarding student refunds). As a result, Dr. Kakar instructed Dr. Martinez to provide copies of refund checks by January 18, 2013. MCCCDC Exhibit List # 39 (various emails regarding student refunds).

58. Dr. Martinez failed to produce a single refund check by the January 18, 2013 deadline. MCCCDC Exhibit List # 39 (various emails regarding student refunds).

59. Dr. Martinez did not think that she had violated any District rule in reference to the copies. Therefore, she declined to follow the December 9, 2010 order because she believed she was not required to do so under any District rule.

60. At the November 18, 2013 hearing, Dr. Martinez did not provide any explanation for her refusal to comply with the October 18, 2012 and January 9, 2013 directives to issue refunds to her students. See Martinez Hearing Testimony.

61. At the hearing Dr. Martinez testified that she had made a "mistake" and, in retrospect, should have complied with President Solley's instructions. See Martinez Hearing Testimony.

62. On or around August 9, 2013, MCCCDC Chancellor Rufus Glasper sent Dr. Martinez a letter informing her that MCCCDC was proceeding with termination proceedings. Included with this letter was a statement of charges that summarized the particular violations Dr. Martinez had been charged with (hereinafter referred to as "Statement of Charges.") MCCCDC Exhibit List #1 (Statement of Charges).

CONCLUSIONS

63. PC failed to carry its burden of proof relating to violation of MCCCDC's cash handling rules found in MCCCDC Administrative Regulations 1.17, violation of Residential Policy Manual 3.2.4 (relating to financial interests in unpublished materials), violation of U.S. Copyright Law and fair use guidelines, and violation of MCCCDC Administrative Regulations 3.2.4 and 3.2.5 related to copyright regulations.

64. Notwithstanding their education, their experience, and their good faith, Mr. Bellamy and Mr. Garrison disagreed as to whether or not Dr. Martinez violated copyright law. Therefore, it is inconclusive as to whether Dr. Martinez intentionally and/or inadvertently violated federal copyright law.

65. It is inconclusive as to whether or not Dr. Martinez violated the "cash handling" policies of MCCCDC.

66. Dr. Martinez willfully and intentionally failed to follow instructions that were communicated to her when she failed to issue refunds to students as directed by President Solley.

67. Dr. Martinez conceded that she never complied with President Solley's clear directive to issue refunds to her students. Although Dr. Martinez now regrets her decision not to comply with President Solley's directive, she has never claimed that she did not understand the instructions or that the instructions were beyond the scope of management's authority. Viewed in light of these facts, Martinez's claim that she "made a mistake" is an admission of willful insubordination.

68. By failing to comply with President Solley's directive to issue refunds to her students, Dr. Martinez violated MCCCDC Administrative Regulation 6.7.1 which prohibits the "[w]illful and intentional violation of any...MCCCDC administrative regulation that affects the employee's ability to perform his or her job."

69. By failing to comply with President Solley's directive to issue refunds to students, Dr. Martinez violated MCCCDC Administrative Regulation 6.7.3 which prohibits the "[w]illful and intentional failure to perform job duties that have first been communicated to an employee and are within the employee's scope of employment."

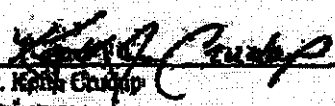
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RECOMMENDATION

70. We hereby recommend that the Governing Board deny President Selley's termination request and allow Dr. Martinez to continue her MOCCD employment.

71. For MOCCD Administrative Regulation 6.7 indicating that violation of any Employment Standards "constitutes grounds for disciplinary action, up to [disciplinary action] and including termination," we hereby recommended that the Governing Board retain Dr. Martinez.

It is ordered so, at Mesa, Arizona, this 9th day of December, 2013.


Dr. Keith Gough
Chairperson
On Behalf of the Hearing Committee,
Dr. Nora Reyes and Dr. Carlos Calte



HUMAN RESOURCES

PERSONNEL ACTION REQUEST

Record #

EMPLOYEE INFORMATION			
Name Scott		SS#	Date 02/11/16
Daytime Phone		Message Phone	
TYPE OF ACTION REQUESTED (APPLIES TO ALL POLICY GROUPS UNLESS INDICATED)			
<input type="checkbox"/> New Employment Regular hire	<input type="checkbox"/> New Specially Funded Hire	<input type="checkbox"/> Administrative (FAC, MAT, M&O, SPF) reassignment	<input type="checkbox"/> SPF Renewals/Changes
<input type="checkbox"/> Short Term Hire (OSO)	<input type="checkbox"/> Athletic Specialist Hire	<input type="checkbox"/> Transfer	<input type="checkbox"/> Temporary reassignment
<input type="checkbox"/> Short Term Hire (OYO)	<input type="checkbox"/> Administrative (PS) Reassignment	<input type="checkbox"/> Separation	<input type="checkbox"/> Return to to Reg. Assign
<input type="checkbox"/> Employee selected through Posting	<input type="checkbox"/> Voluntary	<input type="checkbox"/> Supervisor Change:	<input checked="" type="checkbox"/> Other Suspension
<input type="checkbox"/> Involuntary	Complete Department Table Change Form www.maricopa.edu/hrweb/payroll/FormPage.html		
POSITION INFORMATION			
Position Title		Posting #	
Grade	Calendar 9/12 Mo	<u>12 Month</u> <u>10 Month</u> <u>9.5 Month</u> <u>9.0 Class</u> <u>Other</u>	Recommended Start Date
700	FTE: <input checked="" type="checkbox"/> 1.00 <input type="checkbox"/> .90 <input type="checkbox"/> 0.80 <input type="checkbox"/> 0.75 <input type="checkbox"/> 0.50 <input type="checkbox"/> 0.00 <input type="checkbox"/> Oth		End Date
College/Division		Supervisor Phone #	
Department/Office		Days	
Department ID		Hours	
SPECIALLY FUNDED INFORMATION			
Funding Start Date	End Date	Grade	Step
Funding Source/Grant Name		Salary	
SEPARATION INFORMATION			
Last Day Worked	Reason	Separation Date	
BUDGET INFORMATION			
<input type="checkbox"/> New	<input type="checkbox"/> Replacement	<input type="checkbox"/> Reallocation	If replacement give name/reason
Budget Code(s)/Percentage (Include OGF Codes):			
Position charge to		If Funding & FTE coming from different accounts, please identify:	
FRS # 1	%	FUNDING/FTE	
OGF # 1	110-100-111650-51111	FRS#1	%
FRS # 2	%	OGF#2	%
OGF # 2		FRS#2	%
FRS # 3	%	OGF#2	%
OGF # 3		POS #1	%
		POS #2	%
SIGNATURE/BUDGET APPROVAL			
Supervisor/Committee Chair/Date		Dean/Date	
College Budge Approval/Date		Budget Department/Date	
College President/Authorized Designee/Date		Employee/Student Representative/Date	

Exhibit No.

Date

Date

Date

Date

Date

Date

Date

Date

Date

MCCCD/Martinez 04901

DISTRICT USE ONLY

Name	Scott	SS#		ipl ID	
Action	Reason	Employee Class	Beginning Date	Ending Date	Position ID
SUS	DAC	FCA	03/16/16	03/19/16	
Grade	Step	Biweekly Rate	Assignment Amount		Annual Rate
700	14	\$0			
Action	Reason	Employee Class	Beginning Date	Ending Date	Position ID
REC	REC	FCA	03/19/16		
Grade	Step	Biweekly Rate	Assignment Amount		Annual Rate
700	14				
Action	Reason	Employee Class	Beginning Date	Ending Date	Position ID
Grade	Step	Biweekly Rate	Assignment Amount		Annual Rate
Cal. ID	9/12 mo	FTE	Prob. Period	Sick Leave Payoff	Vacation Payoff?
Sub-	Type	Eligibility Date	Start	Hours: Current	Hours: Current
atical					
Faculty Provisional Status				Prior	Prior
ASRS: YES <input type="checkbox"/> NO <input type="checkbox"/>				Total	Total
District/Agency				Absence	Date
Retirement Date:				Reconciliation	
Service Date				Record #	Tax Multiplier
Protective Service Date					
College					
Board Date:				Processed by	Date Processed
Comments:				Sick Leave Current	Sick Leave Prior
Employee to be suspended for three 6-hour days without pay for disciplinary reasons to be served on 3/16, 3/17, and 3/18/16. Employee's return to work is 3/19/16. Please assure he receives holiday pay for 3/14 and 3/15. This suspension was requested by Chris Haines, Interim President of Phoenix College, approved by Rufus Glasper and letter signed by Exec VC & Provost Maria Harper-Marknick on behalf of Chancellor Glasper. No benefits should be interrupted.				Amount	Amount
Employee is required to reimburse Phoenix College for \$950 by 5/13/16.				Assign ID	Assign ID
				Vacation Current	Vacation Prior
				Amount	Amount
				Assign ID	Assign ID
				Tax Multiplier	Dated Processed
				Adjustments	
Termination Reason:				HR	Date
				Preparer	
				HR	Date
				Approval	02/11/16
INITIAL IN APPROPRIATE BOX					
enefits	Budget SPF	Emp. Relations	Employment	Payroll	Personnel File
					Wage/Salary

Revised dk 7/18/00

Earnings Code

Total Hours

Position Charge to

FRS # 1

%

OGF #1

110-100-111650-
51111

FRS # 2

%

MCCCD/Martinez 04902

FEB 11 2016 #2330